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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

: **Confirmation No. 7316**

Tohru SUZUKI et al.

: Attorney Docket No. 2005\_0423A

Serial No. 10/527,689

: Group Art Unit 1731

Filed May 16, 2005

:

ORIENTED SINTERED SILICON CARBIDE  
PRODUCT AND METHOD FOR  
PREPARATION THEREOF

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☐ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/\_\_\_\_\_; and/or
- ☐ each reference previously cited in prior parent application Serial No. \_\_\_\_\_.

1a. [X] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☒ a full or partial English language translation submitted herewith,
  - b. ☐ a foreign patent office search report (in the English language) submitted herewith,
  - c. ☐ the concise explanation contained in the specification of the present application at page,
  - d. ☐ the concise explanation set forth in the attached English language abstract,
  - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.
6. ☐ Statement Under 37 CFR 1.704(d)

Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart application, and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

Respectfully submitted,

Tohru SUZUKI et al.

By



Matthew M. Jacob

Registration No. 25,154

Attorney for Applicants

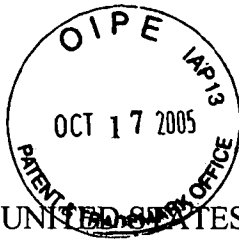
MJ/kes

Washington, D.C. 20006-1021

Telephone (202) 721-8200

Facsimile (202) 721-8250

October 17, 2005



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Tohru SUZUKI et al. : Attorney Docket No. 2005\_0423A  
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**LETTER RE: INFORMATION DISCLOSURE STATEMENT**  
**FILED MARCH 11, 2005**


Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith are copies of the references cited in the Information Disclosure Statement filed on March 11, 2005, but for JP 2003-95748 which is a document in the category PA in the International Search Report and has little relevance of the subject matter presently claimed.

Respectfully submitted,

Tohru SUZUKI et al.

By:   
Matthew M. Jacob  
Registration No. 25,154  
Attorney for Applicants

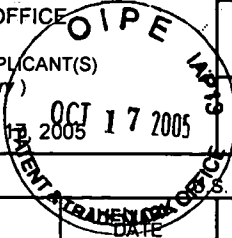
MJ/kes  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
October 17, 2005

## INFORMATION DISCLOSURE STATEMENT

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
2005\_0423ASERIAL NO.  
10/527,689LIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)APPLICANT  
Tohru SUZUKI et al.

Date Submitted to PTO: October 13, 2005

FILING DATE  
May 16, 2005GROUP  
1731

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ						
	AK						
	AL						
	AM						
	AN						

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	Suzuki et al., T. S., "Preferred Orientation of the Texture in the SiC Whisker-Dispersed Al <sub>2</sub> O <sub>3</sub> Ceramics by Slip Casting in a High Magnetic Field", <i>Journal of the Ceramic Society of Japan</i> , Vol. 109, No. 10, pp. 886 to 890 (2001), with English translation.
AP	Suzuki et al., T. S., "Orientation Amplification of Alumina by Colloidal Filtration in a Strong Magnetic Field and Sintering", <i>Advanced Engineering Materials</i> , Vol. 3, No. 7, pp. 490 to 492 (2001).
AQ	Sacks et al., M. D., "Fabrication of Textured Silicon Carbide via Seeded Anisotropic Grain Growth", <i>Journal of the American Ceramic Society</i> , Vol. 79, No. 6, pp. 1611 to 1616 (1996).
AL	REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /CL/

EXAMINER

/Carlos Lopez/

DATE CONSIDERED

09/12/2008